

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

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TC 1700

APPLICANT: Stephen M. Key
SERIAL NO.: 10/005,428
FILED: December 3, 2001
TITLE: Rotating Label System
EXAMINER: Unknown
GROUP ART UNIT: 1734
ATTY.DKT.NO.: PA2321US

COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

INFORMATION DISCLOSURE STATEMENT
Under 37 C.F.R. §§ 1.56 and 1.97-1.98

SIR:

Pursuant to the Rules of Practice in Patent Cases, enclosed herewith is form PTO-SB-08 and a List of Co-Pending Patent Applications listing several references. Copies of those references previously cited in patent application Serial Number 09/551,985 (now Patent No. 6,402,872) are not included pursuant 37 CFR 1.98(d). A copy of any newly cited reference is included.

The Examiner is requested to make these references of official record in this application. The references cited may be material to examination of the application and are submitted in compliance with Applicant's duty of disclosure as defined by 37 C.F.R. § 1.56.

The Applicant believes no fee is due at this time. The Examiner is, however, authorized to charge our Deposit Account No. 06-0600 for any


additional fees which may be required. A duplicate copy of this statement is included for this purpose.

No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference on this list an admission that it is prior art or pertinent to this application.

Respectfully submitted,

Stephen M. Key

Dated: 11/3/03

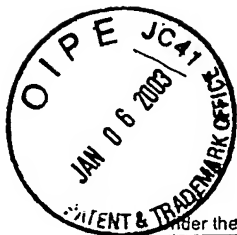
By: 
Susan Yee, Reg. No. 41,388
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PTO/SB/21 (08-00)
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/005,428
Filing Date	December 3, 2001
First Named Inventor	Key
Group Art Unit	1734
Examiner Name	Unknown
Attorney Docket Number	PA2321US

Total Number of Pages in This Submission 13

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):
 - (1) PTO-SB-08 (x2);
 - (2) List of Co-Pending Applications (x2);
 - (3) Three Co-Pending Patent Applications;
 - (4) Five Cited References;
 - (5) Confirmation Postcard

Remarks

Total page number does not include postcard, cited references or co-pending applications.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Carr & Ferrell, LLP Cust. No. 22830

Signature

Susan Yee

Reg. No. 41,388

Date

January 3, 2003

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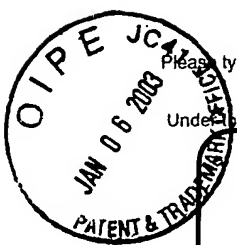
Signature

Susan Yee

Date

January 3, 2003

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PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 3

Complete if Known

Application Number 10/005,428
Filing Date December 3, 2001
First Named Inventor Key
Group Art Unit 1734
Examiner Name Unknown
Attorney Docket Number PA2321US

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U.S. PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		736,035		Stevenson	08-11-1903	
		1,064,576		Washburn	06-10-1913	
		1,312,611		Chess	08-12-1919	
		1,334,031		Hahn	03-16-1920	
		1,387,625		Stein	08-16-1921	
		1,486,313		Van Antwerp	03-11-1924	
		2,013,616		Rettenmeyer	09-03-1935	
		2,129,364		Simons et al.	09-06-1938	
		2,441,607		Walls	05-18-1948	
		2,468,000		Taylor	04-19-1949	
		2,504,076		Lindblom	04-11-1950	
		2,844,893		Keller	07-29-1958	
		2,860,431		Barnum	11-18-1958	
		2,931,657		Lewis	04-05-1960	
		2,935,814		Freeze	05-10-1960	
		2,971,283		Parker	02-14-1961	
		3,278,182		Lescher	10-11-1966	
		3,374,911		White	03-26-1968	
		3,488,880		Taylor	01-13-1970	
		3,542,229		Beyerlein et al.	11-24-1970	

FOREIGN PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
		DK	85214		Fabrikerne	03-10-1958		
		FR	965522		Tairraz	09-14-1950		
		FR	1347102		Seprosy	11-18-1963		
		FR	2460260		Seprosy	01-23-1981		
		FR	285514		Hedelin	10-05-1988		
		FR	2677786		Charvier	12-18-1992		
		SE	1565		Carstens	07-06-1888		

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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(use as many sheets as necessary)

Sheet	3	of	3
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Complete if Known

Application Number	10/005,428
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Filing Date	December 3, 2000
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First Named Inventor	Key
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Group Art Unit	1734
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Examiner Name	Unknown
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Attorney Docket Number	PA23211 IS
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U.S. PATENT DOCUMENTS

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		Number	Kind Code ² (if known)			
		5,342,093		Weernick	08-30-1994	
		5,403,636		Crum	04-04-1995	
		5,639,529		Gozdecki et al.	06-07-1997	
		5,800,893		Harden	09-01-1998	
		5,809,674		Key	09-22-1998	
		5,884,421		Key	03-23-1999	
		5,953,170		Glancy	09-14-1999	
		6,086,697		Key	07-11-2000	
		6,212,803	B1	Key	04-10-2001	
		6,237,269	B1	Key	05-29-2001	
		6,253,438	B1	Jespersen	07-03-2001	
		6,402,872	B1	Key	06-11-2002	
		2,706,464		North	04-19-1955	
		2,738,564		Guianne	03-20-1956	
		5,209,367		Van Musccher et al.	05-11-1993	
		6,129,802		Key	10-10-2000	

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner
Signature

Date _____

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WASHINGTON, D.C. 20231

**LIST OF CO-PENDING APPLICATIONS
THAT MAY BE DIRECTED TOWARD SIMILAR SUBJECT MATTER**

Examiner's Initials	Serial Number	First-Named Inventor	Title	Filing Date
	09/866,219	Key	Roll-Fed Method for Constructing a Rotatable Label System	May 25, 2001
	10/112,542	Key	System and Method for a Rotatable Sleeve Device	March 27, 2002
	10/030,321	Key	Rotatable Label for Pharmaceutical Container and Method for Constructing Same	October 26, 2001
Examiner's Signature:				Date